

ATTN: BOX PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yoshihisa NISHIBE, Wataru KINOSHITA and Hiroyuki KAWABE

Appln. No.: NOT YET ASSIGNED

PCT/JP99/02126, filed April 21, 1999

Filed: December 21, 1999

For: PHARMACEUTICAL COMPOSITION FOR APPLICATION TO MUCOSA

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §§ 1.97 and 1.98

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

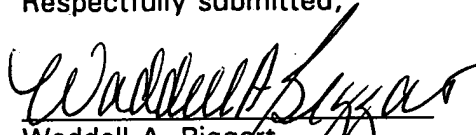
One copy of each of the listed documents are submitted herewith.

The present Information Disclosure Statement is being filed no later than three months from the application's filing date and therefore no certification under 37 CFR §1.97(e) or fee under 37 CFR §1.17(p) is required.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a corresponding foreign Search Report citing such documents, together with an English-language version (if not already included) of that portion of the Search Report indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,


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Date: December 21, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshihisa NISHIBE, et al.

Appln. No. 09/446,276

Group Art Unit: 1615

Filed: December 21, 1999

Examiner: Not yet assigned

For: PHARMACEUTICAL COMPOSITION FOR APPLICATION TO MUCOSA

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Assistant Commissioner for Patents
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Sir:

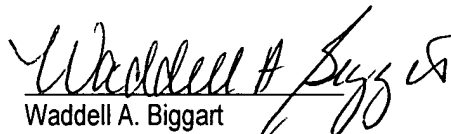
In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

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Respectfully submitted,


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